

Application No.: 09/665,065

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Dated: December 8, 2006

Signature: C. Allen

(C. Allen)

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Docket No.: 38898-172161  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Shaath et al.

Art Unit: 3626

Application No: 09/665,065

Examiner: C. L. Gilligan

Confirmation No: 5244

Filed: September 19, 2000

Atty. Docket No: 38898-172161

For: METHOD AND SYSTEM FOR  
ELECTRONIC FILE LIFECYCLE  
MANAGEMENT

Customer No:  
26694  
PATENT TRADEMARK OFFICE

**RE-SUBMISSION OF PREVIOUSLY FILED AMENDMENT**

MS AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Attached is an Amendment **previously filed** by facsimile on October 31, 2006 for the above referenced application. Applicants' account has been charged for the previously filed Extension of Time and Notice of Appeal, however the Amendment does not appear in PAIR or PALM.

Also submitted herewith are the previously filed Notice of Appeal, Extension of Time, and the Auto-Reply Facsimile Transmission indicating that the Amendment and accompanying papers were received on October 31, 2006.

It is not believed that any additional fees are required at this time. Entry of the Amendment into the record and examination are respectfully requested.

FROM VENABLE LLP VIENNA VA

(FRI) 12. 8' 06 11:15/ST. 11:14/NO. 4862865731 P 4

Application No.: 09/665,065

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Dated: December 8, 2006

Respectfully submitted,

By 

Caroline J. Syndell

Registration No.: 56,784

VENABLE LLP

P.O. Box 34385

Washington, DC 20043-9998

(202) 344-4000

(202) 344-8300 (Fax)

Attorney/Agent For Applicant

DEC 08 2006

**FAX TRANSMISSION****DATE:** December 8, 2006**PTO IDENTIFIER:** Application Number 09/665,065-Conf. #5244  
Patent Number**Inventor:** Shaath et al.**MESSAGE TO:** US Patent and Trademark Office**FAX NUMBER:** (571) 273-8300**FROM:** VENABLE LLP

Caroline J. Swindell

**PHONE:** (703) 760-1676**Attorney Dkt. #:** 38898-172161**PAGES (Including Cover Sheet):** 31**CONTENTS:**Re-submission Of Previously Filed Amendment (2 pages)  
Fee Transmittal SB/17 (1 page)

Copy of: USPTO Auto-Reply Facsimile Transmission Receipt of 10/31/06 (1 page)

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Copy of: Extension of Time of 10/31/06 (1 page)

Copy of: Amendment Transmittal Letter of 10/31/06 (18 pages)

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Application No. (if known): 09/665,065

Attorney Docket No.: 38898-172161

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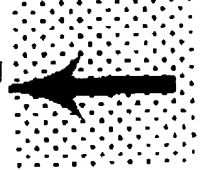
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### FAX TRANSMISSION

DATE: October 31, 2006

FIG IDENTIFIER: Application Number: 08R43,065-Cont'd #5244  
Patent Number

Inventors: Siegel et al.

MESSAGE TO: US Patent and Trademark Office

FAX NUMBER: (571) 273-8300

FROM: VENABLE LLP

Carroll J. Windell

PHONE: (703) 760-1676

Attorney Ref. #: 18198-172101

PAGES (Including Cover Sheet): 25

#### CONTENTS:

Amendment Transmittal Letter (1 page)  
FIG Transmittal Letter (1 page)  
Amendment in Response to First Office Action (10 pages)  
Petition/Request for Extension of Time (2 copies) (1 page)  
Notice of Appeal (1 page)  
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PAGE 025 RCVD AT 11/01/2006 3:15:34 PM [Eastern Standard Time] \* SVR:USPTO-EFXXF-5/6 \* DNIS:2738300 \* CSID:7038218949 \* DURATION (mm-ss):08-06

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## PATENT PROSECUTION RECEIPT OF FILING

**135841**

Venable Filing Number

Atty. Docket No: 38898-172161

Title of Application: METHOD AND SYSTEM FOR ELECTRONIC FILE LIFECYCLE MANAGEMENT

Application No: 09/665,065

Patent No.:

Attorney/LAA: RPA

PTO Due Date: August 31, 2006

Current Date: October 31, 2006

Filing Date: September 19, 2000

Issue Date:

The following items were received from Venable LLP, Washington, D.C.,  
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<input checked="" type="checkbox"/>	Amendment Transmittal Letter (1 page)
<input checked="" type="checkbox"/>	Fee Transmittal Letter (1 page)
	New U.S. Patent Application
	( pages of specification/claims)
	Rule 53(d) Continued Prosecution Application
	Rule 53(b) Continuation or Divisional Application
	(attach copy of specification, claims, drawings and declaration)
	U.S. National Stage Application of PCT Application
	Request for Continued Examination (RCE) under 37 CFR 1.114
	Response
	Substitute Specification
	Priority Document-Cert. Copy of
	Appln.# ; Country ; Date Filed:
	Formal Drawings ( sheets, Figs.)
	Inventor Declaration
	Assignment w/Cover Sheet
	Response to Notice to File Missing Parts
	Response to Notice to File Missing Requirements
	Response to Requirement
	Information Disclosure Statement with cited references
	Response
<input checked="" type="checkbox"/>	Amendment in Response to Final Office Action (18 pages)
<input checked="" type="checkbox"/>	Petition/Request for Extension of Time (2- mo. EOT - small entity)
	Power of Attorney
	Petition to Revoke
	Sequence Listing - CDR Enclosed? <input type="checkbox"/> Yes <input type="checkbox"/> No
	Request for Non-Publication
	Terminal Disclaimer
<input checked="" type="checkbox"/>	Notice of Appeal (1 page)
	<input type="checkbox"/> Reply Brief (in triplicate) / <input type="checkbox"/> Request for Oral Hearing
	Confirmation of Hearing Petition
	Issue Fee Transmittal
	Certificate of Correction
	Maintenance Fee Transmittal
	Status Inquiry
	Other. (Please describe below)

	Filing Fee
	Surcharge Fee
	Additional Claim Fee
	Recordation/Indexing Fee
	IDS Fee
225.00	Extension Fee
250.00	Notice of Appeal Fee
	Brief on Appeal
	Oral Hearing Request Fee
	Petition Fee
	Issue Fee
	Publication Fee
	Maintenance Fee
	Other Fees (Describe)
\$475.00	Total Fees Paid

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Signature of Attorney

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4918). <b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		<b>Complete If Known</b>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	09/665,065-Conf. #5244
		Filing Date	September 19, 2000
		First Named Inventor	Kamel Shaath
		Examiner Name	C. L. Gilligan
<b>TOTAL AMOUNT OF PAYMENT</b> (\$ ) 475.00		Art Unit	3626
		Attorney Docket No.	38898-172161

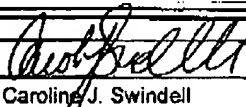
  

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<input checked="" type="checkbox"/> Deposit Account    Deposit Account Number: <u>22-0261</u> Deposit Account Name: <u>Venable LLP</u>	
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<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Credit any overpayments	

<b>FEE CALCULATION</b>							
<b>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</b>							
	<b>FILING FEES</b> <small>Small Entity</small>		<b>SEARCH FEES</b> <small>Small Entity</small>		<b>EXAMINATION FEES</b> <small>Small Entity</small>		
<b>Application Type</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fees Paid (\$)</b>
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
							<small>Small Entity</small>
							<b>Fee (\$)</b> <b>Fee (\$)</b>
Each claim over 20 (including Reissues)							50    25
Each independent claim over 3 (including Reissues)							200    100
Multiple dependent claims							360    180
<b>Total Claims</b>		<b>Extra Claims</b>		<b>Fee (\$)</b>		<b>Fee Paid (\$)</b>	
- 20 =		x		=			
HP = highest number of total claims paid for, if greater than 20.							
<b>Indep. Claims</b>		<b>Extra Claims</b>		<b>Fee (\$)</b>		<b>Fee Paid (\$)</b>	
- 3 =		x		=			
HP = highest number of independent claims paid for, if greater than 3.							
<b>3. APPLICATION SIZE FEE</b> If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(g).							
<b>Total Sheets</b>		<b>Extra Sheets</b>		<b>Number of each additional 50 or fraction thereof</b>		<b>Fee (\$)</b> <b>Fee Paid (\$)</b>	
- 100 =		/ 50		(round up to a whole number) x		=	
<b>4. OTHER FEE(S)</b>							
Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge): 2252 Extension for response within second month						225.00	
2401 Notice of appeal						250.00	

<b>SUBMITTED BY</b>			
Signature		Registration No. (Attorney/Agent)	56,784
Name (Print/Type)	Caroline J. Swindell	Telephone	(703) 760-1676
		Date	October 31, 2006

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**Effective on 12/08/2004.**

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4578).

**For FY 2006**

☒ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT**

(\$) 0.00

**Complete if Known**

Application Number	09/665,065-Conf. #5244
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Filing Date	September 18, 2000
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First Named Inventor	Kamel Shaath
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Examiner Name	C. L. Gilligan
---------------	----------------

Art Unit	3628
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Amnesty Docket No.	38898-172161
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Deposit Account	Deposit Account Number	22-0261	Deposit Account Name:	Venable LLP
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☒ Credit any overpayments

### FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

<u>Application Type</u>	<u>FILING FEES</u>		<u>SEARCH FEES</u>		<u>EXAMINATION FEES</u>		<u>Fees Paid (\$)</u>
	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

## 2. EXCESS CLAIM FEES

### Fee Description

Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>	
				<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
-20	x	=			

MP = highest number of total claims paid for, if greater than 20.

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
3 =	x	=	

HP = highest number of independent claims paid for, if greater than 2.

### 3. APPLICATION SIZE FEE


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<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 100 =	/50	(round up to a whole number) x	=	

**4. OTHER FEE(S)**

**Non-English Specification, \$130 fcc (no small entity discount)**

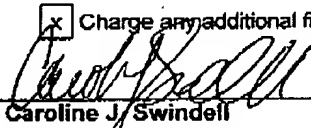
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SUBMITTED BY				
Signature		Registration No. (Attorney/Agent)	56,784	Telephone (703) 760-1676
Name (Print/Type)	Caroline J. Swindell			Date December 8, 2006

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AMENDMENT TRANSMITTAL LETTER				Docket No. 38898-172161	
Application No. 09/665,065-Conf. #5244		Filing Date September 19, 2000		Examiner C. L. Gilligan	
				Art Unit 3826	
Applicant(s): Shaath et al.					
Invention: METHOD AND SYSTEM FOR ELECTRONIC FILE LIFECYCLE MANAGEMENT					
<b>TO THE COMMISSIONER FOR PATENTS</b>					
Transmitted herewith is an amendment in the above-identified application.					
The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims		- 20 =		x	
Independent Claims		- 3 =		x	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify): Extension for response within second month;					225.00
Notice of appeal					250.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					<b>475.00</b>
<input type="checkbox"/> Large Entity <input checked="" type="checkbox"/> Small Entity					
<input type="checkbox"/> No additional fee is required for this amendment.					
<input checked="" type="checkbox"/> Please charge Deposit Account No. <u>22-0261</u> in the amount of \$ <u>475.00</u> .					
A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>22-0261</u> as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
 Caroline J. Swindell Attorney/Agent Reg. No.: 56,784				Dated: <u>October 31, 2006</u>	
VENABLE LLP P.O. Box 34385 Washington, DC 20043-9998 (703) 760-1676					

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**FAX TRANSMISSION****DATE:** October 31, 2006**PTO IDENTIFIER:** Application Number 09/665,065-Conf. #5244  
Patent Number**Inventor:** Shaath et al.**MESSAGE TO:** US Patent and Trademark Office**FAX NUMBER:** (571) 273-8300**FROM:** VENABLE LLP  
Caroline J. Swindell**PHONE:** (703) 760-1676**Attorney Dkt. #:** 38898-172161

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Amendment Transmittal Letter (1 page)  
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PTO/SB/97 (09-04)

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Application No. (if known): 09/665,065

Attorney Docket No.: 38898-172161

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Note:  
Amendment Transmittal Letter (1 page)  
Fee Transmittal Letter (1 page)  
Amendment in Response to Final Office Action (18 pages)  
Petition/Request for Extension of Time (2 months) (1 page)  
Notice of Appeal (1 page)  
Certificate of Transmission (1 page)  
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